PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Pa	perwork Reduction Act of 1995, no p	ersons are required to respond to a collection of info	ormation unless it displays a valid OMB control number.						
TĐ A	NSMITTAL LETTER	ATTORNEY'S DOCKET NUMBER							
	ESIGNATED/ELECTI	13311-00010-US							
	CERNING A SUBMIS	U.S. APPLICATION NO. 14 known, sep 37 6 F 13 5)							
	IONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
	CT/EP2004/000100	9 January 2004	9 January 2003						
IIILE OF I		OR THE GENETIC MODIFICATION O A, CORRESPONDING ORGANISMS,							
APPLICAN		arkus Matuschek et al.	AND THE OSE OF THE OAME						
	THE ELECTRICAL TO THE PROPERTY OF THE PROPERTY								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. x T	his is a FIRST submission o	fitems concerning a submission under 3	5 U.S.C. 371.						
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. x T									
5. x A	5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))								
a. >	a. x is attached hereto (required only if not communicated by the International Bureau).								
b.	b. has been communicated by the International Bureau.								
с. [	is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. x A	x An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).								
a. 🖸	a. x is attached hereto.								
b.	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. A	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))								
а. 🗌	a. are attached hereto (required only if not communicated by the International Bureau).								
b	b. have been communicated by the International Bureau.								
c.	have not been made; however, the time limit for making such amendments has NOT expired.								
d	have not been made and will not be made.								
8 A	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).								
	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).								
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).									
Items 11	o 20 below concern doci	ment(s) or information included:							
11. x A	n Information Disclosure Sta	tement under 37 CFR 1.97 and 1.98.							
12. A	n assignment document for re	cording. A separate cover sheet in complia	nce with 37 CFR 3.28 and 3.31 is included.						
13. x A	preliminary amendment.								
14. x A	. x An Application Data Sheet under 37 CFR 1.76.								
15. A	A substitute specification.								
16. A	A power of attorney and/or change of address letter.								
17. x A	x A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.								
18 A	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19 A	second copy of the English	language translation of the international	application under 35 U.S.C. 154(d)(4).						
20. x C	P §		and 408; Form PCT/ISA/237; PCT Request, ing And Submission In Accordance With 37 CFR Sequence Listing, Postcard, Certificate of Express						

JC14 Rec'd PCT/PTO 0 8 JUL 2005

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U.S. APPLICATION NO. BEAUTING THE STATE OF T		ATTORNEY'S DOCKET NUMBER							
	PC1/EF2004/000100				0100	13311-00010-US			
The following fees have been submitted						CALCULATIONS	PTO USE ONLY		
21. x Basic national fee\$300					\$300	\$	300.00		
22. x Exami	ination fee								
If International preliminary examination report prepared by USPTO and all claims satisfy									
						s	200.00		
		•••••				Ť			
	23. x Search fee  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the								
USPTO a									
International Search Report prepared and provided to the Office \$400 All other situations \$500						s	400.00		
741 04101 0110401	TOTAL OF 2					s	900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
	** *		of each additional 50 or	fraction		┨			
Total Sheets	Extra sheets		f (round up to a whole n		RATE	1			
87 - 100 =	/50 =	<u> </u>			× \$250.00	L			
	30.00 for furnishing laimed priority date		or declaration later that 492 (e))	n 30 mont	hs	\$			
CLAIMS	NUMBER		NUMBER EXTRA	R	ATE	H			
Total claims		- 20 =	20	×	50.00	\$	1,000.00		
Independent clair	ms 1	- 3 =		x		\$	0.00	~ ~	
MULTIPLE DEPE	NDENT CLAIM(s)	(if applica	ble)	+		\$			
			TOTAL OF ABOVE	CALCUL	ATIONS =	\$	1,900.00		
Applicant cl	aims small entity st	atus. See 3	37 CFR 1.27. Fees abo	ve are redu	uced by ½.	\$			
				SUE	TOTAL =	s	1,900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					\$				
·			TOTAL	NATION	AL FEE =	\$ 1,900.00			
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									
			TOTAL F	EES ENC	LOSED =	\$ 1,900.00			
							mount to be funded:	\$	
						_	mount to be		
							narged:	\$	
a. A che									
b. X Please charge my Deposit Account No. 03-2775 in the amount of \$ 1,900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								ny	
	ayment to Deposit				, , ,		s sheet is enclosed.		
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:  SIGNATURE:									
Roberte M. D. Makowski, Ph.D.  NAME									
CUSTOMER NUMBER: 23416						55,421			
REGISTRATION NUMBER							<del>_</del>		

## JC14 Rec'd PCT/PTO 0 8 JUL 2005

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 13311-00010-US

## **Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV622756172US in an envelope addressed to:

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on July 8, 2005

Date

Signature

Tina R. Hall

Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 658-9141

Telephone Number

Note:

Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal Sheet Application Data Sheet

Copy of the International application with search report attached

Copy of Form PCT/ISA/210

Forms PCT/IPEA/409 and 408; Form PCT/ISA/237

PCT Request; PCT/IB/306 (2 pages)

English language translation of the International application

13 sheets of drawings

Statement To Support Filing And Submission In Accordance With 37 CFR §§1.821

Through 1.825

Disk/Paper copy of computer readable Sequence Listing Information Disclosure Statement, SB08, with references

**Preliminary Amendment** 

Postcard

Charge \$1,900.00 to deposit account 03-2775

## JC14 Rec'd PCT/PTO 0 8 JUL 2005

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. Not Yet Assigned Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** FEE TRANSMITTAL Concurrently Herewith Filing Date Markus Matuschek First Named Inventor For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit TOTAL AMOUNT OF PAYMENT 1,900.00 13311-00010-US Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card Money Order Check None Other (please identify): Deposit Account Deposit Account Number: 03-2775 Deposit Account Name: Connolly Bove Lodge & Hutz LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of х x | Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES SEARCH FEES Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Utility 300 150 500 250 200 100 900.00 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 O 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 180 360 **Total Claims** Extra Claims Fee Paid (\$) Multiple Dependent Claims 20 x 50.00 1,000.00 Fee (\$) Fee Paid (\$) Indep. Claims Fee Paid (\$) Extra Claims 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) Extra Sheets Fee (\$) - 100 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1642 National Stage Search Fee - search report ... 400.00 1633 National Stage Examination Fee - all other ... 200.00

SUBMITTED BY				
Signature	Labour Alaston No.  Registration No.  Registration No.  Registration No.	55,421	Telephone	(302) 658-9141
Name (Print/Type)	Roberte M. D. Makowski, Ph.D.		Date	July 8, 2005